



**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**(Case No. 98,385-H)**

In the Application of:

Hauptmann, et al.

Serial No. 09/899,422

Filed: July 3, 2001

For: TNF Receptors, TNF Binding Proteins  
and DNAs Coding for them

Before the Examiner:

Group Art Unit: 1646

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

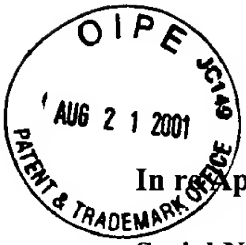
In regard to the above-identified patent application:

1. We are transmitting herewith the attached:
  - a. Notice to Comply with Requirements for Sequence Listing
  - b. Computer and Paper Copy of Sequence Listing
  - c. Postcard
2. With respect to additional fees:  
  X   A. No additional fee is required.  
       B. Attached is a check in the amount of \$00.00
3. Please charge any additional fees or credit over-payments to the Deposit Account No.13-2490.
4.   x   CERTIFICATE UNDER 37 CFR 1.10 (EXPRESS MAIL): The undersigned hereby certifies that this Transmittal Letter and this paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service, as Express Mail No. EL921553980US, in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on this 21st day of August 2001.

Dated: August 21, 2001

By:

Donald L. Zuhn, Ph.D.  
P48,710



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,385-H)

PATENT

In re	Application of: Hauptmann et al.	)	
		)	
Serial No.:	09/899,422	)	Before the Examiner: Unassigned
		)	
Filed:	July 3, 2001	)	Group Art Unit: 1646
		)	
For:	TNF Receptors, TNF Binding	)	
	Proteins and DNAs Coding for Them	)	

Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

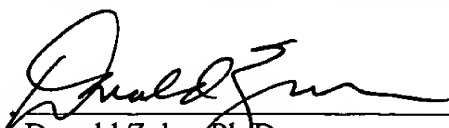
**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT  
APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE  
AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Responsive to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed August 13, 2001, Applicants attach herewith a copy of the Notice to Comply, a paper copy of the sequence listing, and a computer readable copy of the sequence listing.

The undersigned representative hereby declares that the content of the paper and computer readable copies of the Sequence Listing, submitted in the above-identified application in accordance with 37 C.F.R. §§ 1.821(c) and (e), respectively, are identical, and further, that the submission of the paper and computer readable copies of the Sequence Listing adds no new matter. The 3.5" diskette contains an IBM compatible dos-text file of the sequence listing named "98385Hseq.txt." Consideration and entry of this Statement and attachments are respectfully requested.

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

Dated: August 21, 2001

By:   
Donald Zuhn, Ph.D.  
Reg. No. P-48,710



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/899,422	07/03/2001	Rudolf Hauptmann	92,835-H

CONFIRMATION NO. 8840

## FORMALITIES LETTER



\*OC000000006418500\*

MCDONNELL BOEHNNEN HULBERT & BERGHOFF  
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SUITE 3200  
CHICAGO, IL 60606

Date Mailed: 08/13/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE